

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

STEPHEN F. GASS, DAVID S. D'ASCENZO,  
ANDREW L. JOHNSTON, JOEL F. JENSEN,  
SUNG H. KIM and ANWYL M. MCDONALD

Date: November 28, 2001

Serial No. : 09/929,236

Group Art Unit 3725

Filed : August 13, 2001

For : REPLACEABLE BRAKE MECHANISM  
FOR POWER EQUIPMENT

Assistant Commissioner for Patents  
Washington D.C. 20231

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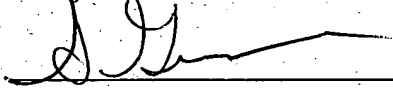
Sir:

**SUBMISSION OF POWER OF ATTORNEY AND  
CERTIFICATE EXCLUDING INVENTORS AND  
PREVIOUS ASSIGNEE(S) FROM ACCESS TO A PATENT APPLICATION  
PURSUANT TO 37 C.F.R. 3.73(b), 37 C.F.R. § 3.71 AND MPEP 106**

Enclosed for filing in connection with the above-identified patent application is a Power of Attorney and a Certificate Excluding Inventors and Previous Assignee(s) From Access to a Patent Application Pursuant to 37 C.F.R. § 3.73(b), 37 C.F.R. § 3.71 and MPEP § 106.

Respectfully submitted,

SDA, LLC

  
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Attorney for Assignee

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 28, 2001.

  
Stephen F. Gass  
Date of Signature: November 28, 2001



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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/929,236
Filing Date	August 13, 2001
First Named Inventor	Stephen F. Gass
Title	Replaceable Brake ...
Group Art Unit	3725
Examiner Name	
Attorney Docket Number	SDT 307

I hereby appoint:

☒ Practitioners at Customer Number

27630

OR

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Name	Registration Number



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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

Stephen F. Gass, President, SD3, LLC

Signature

Date

November 27, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**CERTIFICATE EXCLUDING INVENTORS AND PREVIOUS  
ASSIGNEE(S) FROM ACCESS TO A PATENT APPLICATION PURSUANT TO  
37 C.F.R. § 3.73(b), 37 C.F.R. § 3.71 AND MPEP § 106**

In re Application of: Stephen F. Gass, David S. D'Ascenzo, Andrew L. Johnston,  
Joel F. Jensen, Sung H. Kim and Anwyl M. McDonald  
Serial No.: 09/929,236  
Filed: August 13, 2001  
Entitled: Replaceable Brake Mechanism For Power Equipment

SD3, LLC, an Oregon limited liability company, certifies, under 37 C.F.R. § 3.73(b), that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from each of the inventors named in the patent application identified above and/or their successors in interest. Copies of the assignment documents have been recorded with the Office as follows:

Stephen F. Gass	to	SD3, LLC	Reel 012155, Frame 0361
David S. D'Ascenzo	to	SD3, LLC	Reel 012155, Frame 0365
Andrew L. Johnston	to	SD3, LLC	Reel 012133, Frame 0797
Joel F. Jensen	to	SD3, LLC	Reel 012133, Frame 0808
Sung H. Kim	to	SD3, LLC	Reel 012133, Frame 0800
Anwyl M. McDonald	to	SD3, LLC	Reel 012133, Frame 0789

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and certifies that to the best of the undersigned's knowledge and belief, title is in the assignee identified above.


The above-identified assignee of record, pursuant to 37 C.F.R. § 3.71 and MPEP § 106, hereby excludes all inventors and previous assignee(s), if any, from access to the above-identified patent application, and reserves its right to conduct the prosecution of the above-identified patent application to the exclusion of the named inventors and previous assignee(s).

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the above-identified assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: November 27, 2001

SD3, LLC



Name: Stephen F. Gass

Title: President